Where Do Kepler's Laws Hold? CMC³ Recreational Math Conference

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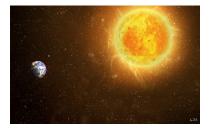
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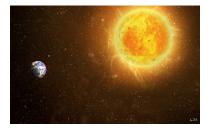
Determine the motion of a planet around a sun.



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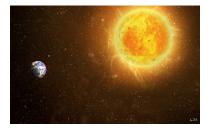
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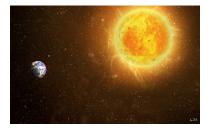
Can be formulated in Hamiltonian mechanics:

• Sun at (0,0,0), planet at (*x*, *y*, *z*)

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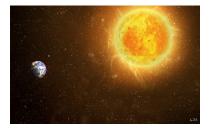


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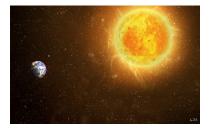


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•
$$r = \sqrt{x^2 + y^2 + z^2}$$
, $c = \text{constant}$

Statement and laws History

The Kepler Problem

Problem (Hamiltonian formalism)

Integrate the flow of the Hamiltonian $H: \mathbb{R}^6 \to \mathbb{R}$ where

- H = K + U =total energy
- $K = \frac{1}{2}(p_x^2 + p_y^2 + p_z^2) = \text{kinetic energy}$
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Here, *integrate the flow* means solve the system of differential equations

$$\frac{d\mathbf{x}}{dt} = \frac{\partial H}{\partial \mathbf{p}}, \qquad \frac{d\mathbf{p}}{dt} = -\frac{\partial H}{\partial \mathbf{x}},$$

known as Hamilton's equations.

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Note: U is the fundamental solution to the Laplacian $\Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}.$

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Kepler's Laws of Planetary Motion

In Planetary orbits are ellipses with the sun at one focus

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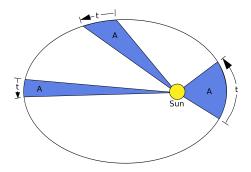
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Statement and laws History

History of Kepler's Laws

Tycho Brahe (1546-1601): Danish astronomer



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Observed and collected data on planetary orbits

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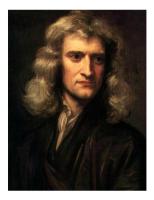


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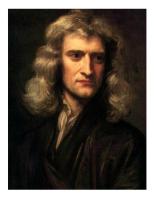
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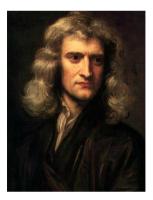
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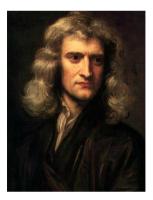
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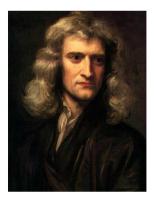
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Proved (mathematically) Kepler's Laws from Newton's Laws (including law of gravitation).

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The Second Law

- Newton noticed that Second Law holds for any radial system
- $\bullet\,$ Second Law $\cong\,$ Law of Conservation of Angular Momentum
- Implies planetary orbits lie in a plane. Thus, the Kepler problem in ℝ³ easily reduces to ℝ².
- Partially explains the observation that the sun, moon, and all planets follow the same path in the sky, called the *ecliptic*.



Background Kepler's laws Kepler's third law and geometry

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What is a Riemannian manifold?

• A *smooth manifold* is an *n*-dimensional analogue of a smooth surface.

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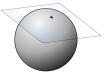
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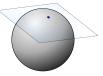
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• A Riemannian structure is an inner product $\langle\cdot,\cdot\rangle$ at each tangent space.

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What is a Riemannian manifold?

• Can now measure lengths of curves in *M*: $I(\gamma) = \int_{a}^{b} \sqrt{\langle \dot{\gamma}, \dot{\gamma} \rangle} dt$

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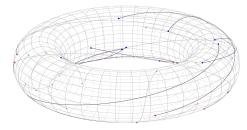
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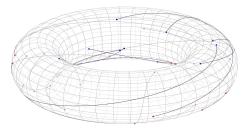
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• So, a Riemannian manifold is a smooth space where we can measure distances.

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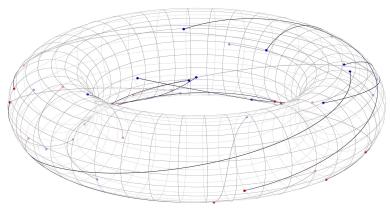
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The curved Kepler problem

• Replace configuration space \mathbb{R}^3 by a Riemannian manifold M

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- Try to integrate flow of Hamiltonian

$$H=K+U.$$

History¹

¹mostly from Diacu, Perez-Chavela, and Santoprete, *The n-body problem in spaces of constant curvature*, Journal of Nonlinear Science

History¹

Study of curved Kepler problem (dates are approximate):

 $\bullet\,$ 1687, Newton: $\mathbb{R}^3,$ posed and solved

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- 1852, Dirichlet: ℍ³, no progress
- $\bullet~1860,~P.$ Serret: $\mathbb{S}^2,$ posed and solved
- 1870, Schering: \mathbb{H}^3 , partially solved

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- 1885, Killing: \mathbb{S}^3 , reposed

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- $\bullet\,$ 1903, Liebmann: \mathbb{S}^2 and $\mathbb{H}^2,$ proved Bertrand's theorem

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- $\bullet\,$ 1940, Schrodinger: $\mathbb{S}^2,$ posed quantum version
- 1945, Infeld, Schild: \mathbb{H}^n , posed quantum version

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History

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- Modern era (my lifetime), Russians (Kozlov, etc.), Spanish (Santander, etc.) and North Americans (Diacu, etc.):
 Sⁿ and ℍⁿ, explicit dynamics, classification of orbits, more

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- 1940, Schrodinger: \mathbb{S}^2 , posed quantum version
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- 2011-now, Montgomery, Shanbrom: Heisenberg 3-space \mathcal{H} , posed, partially solved

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Curved Kepler's Laws

• All three laws can be formulated in the curved setting. Which hold?

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Curved Kepler's Laws

- All three laws can be formulated in the curved setting. Which hold?
- First and Second Laws hold in spherical and hyperbolic geometries
- Third law fails. Why?
- Short answer: They do not admit dilations

The curved Third Law

• Longer answer: Third Law in \mathbb{R}^3 follows from fact that gravitational potential U is homogeneous of degree -1.

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- Q: Which Riemannian manifolds admit dilations?
- A: Only Euclidean spaces!

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Thanks Gromov!

Theorem: If a Riemannian manifold M is homogeneous and admits dilations, then it is Euclidean.

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In order to obtain a Third Law in a curved Kepler problem, we must leave the realm of Riemannian manifolds!

But where do we go ...?

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

The Kepler problem on the Heisenberg group

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Sub-Riemannian Geometry!

• Certain sub-Riemannian manifolds admit dilations!

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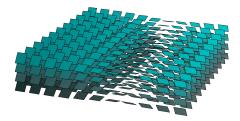
Sub-Riemannian Geometry!

- Certain sub-Riemannian manifolds admit dilations!
- These are like Riemannian manifolds *M*, except the inner product ⟨·, ·⟩ is restricted to certain subspaces of the tangent spaces, called a *distribution D*.

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Sub-Riemannian Geometry!

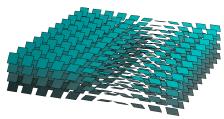
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- These are like Riemannian manifolds *M*, except the inner product ⟨·, ·⟩ is restricted to certain subspaces of the tangent spaces, called a *distribution D*.



The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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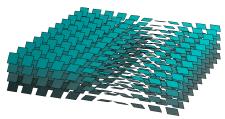


• So can think of a field of subspaces, and require all curves tangent to these subspaces.

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- So can think of a field of subspaces, and require all curves tangent to these subspaces.
- In other words, certain directions of travel are forbidden.

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The Heisenberg group!

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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Q: Do all sub-Riemannian manifolds admit dilations?

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The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

The Heisenberg group!

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The Heisenberg group is the simplest.

Definition

The Heisenberg group is the Lie group ${\mathcal H}$ homeomorphic to ${\mathbb R}^3,$ with group law

$$(x_1, y_1, z_1) \cdot (x_2, y_2, z_2) = (x_1 + x_2, y_1 + y_2, z_1 + z_2 + \frac{1}{2}(x_1y_2 - x_2y_1)).$$

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The distribution

• Three vector fields give a basis for each tangent space:

$$X = \frac{\partial}{\partial x} - \frac{1}{2}y\frac{\partial}{\partial z}, \quad Y = \frac{\partial}{\partial y} + \frac{1}{2}x\frac{\partial}{\partial z}, \quad Z = \frac{\partial}{\partial z}$$

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 \bullet Now equip ${\mathcal H}$ with the plane field distribution

$$D = \operatorname{span}\{X, Y\}$$

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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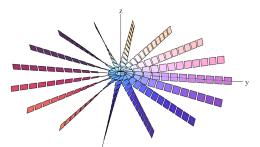
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 $\bullet\,$ Now equip ${\cal H}$ with the plane field distribution

 $D={\sf span}\{X,Y\}$

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The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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• Now equip D with inner product $\langle \cdot, \cdot \rangle = dx^2 + dy^2|_D$

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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angle} dt$$

• Induces a distance function (metric) in the usual way

$$d(p,q) = \inf I(\gamma),$$

where the infimum is taken over all *horizontal* curves connecting p to q.

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

Heisenberg geometry

Theorem

Heisenberg geodesics are helices.

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

Heisenberg geometry

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Sketch of Proof:

- Horizontal condition
- Stokes' Theorem
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The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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 - \Rightarrow *z*-coordinate = area traced out by projection

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Heisenberg geometry

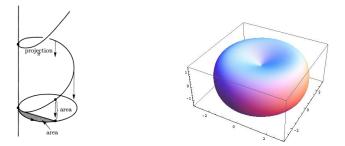


Figure: Left: The *z*-coordinate must grow like the area traced out. Right: The Heisenberg unit sphere.

The Heisenberg group **The Kepler-Heisenberg problem** Results Kepler's laws

The Kepler Problem on \mathbb{R}^3

The Heisenberg group **The Kepler-Heisenberg problem** Results Kepler's laws

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• Recall the classical Kepler Problem: integrate the flow of

$$H = \frac{1}{2}(p_x^2 + p_y^2 + p_z^2) - \frac{c}{r}$$

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- Potential $U = -\frac{c}{r}$ with $c = \frac{1}{4\pi}$ is the fundamental solution to the \mathbb{R}^3 Laplacian

$$\Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

The Heisenberg group **The Kepler-Heisenberg problem** Results Kepler's laws

Heisenberg Kinetic Energy

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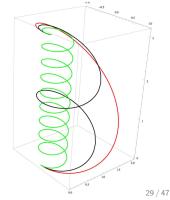
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The Heisenberg group **The Kepler-Heisenberg problem** Results Kepler's laws

Heisenberg Potential Energy

The second order differential operator

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This will be our potential energy!

The Heisenberg group **The Kepler-Heisenberg problem** Results Kepler's laws

The Kepler Problem on $\mathcal H$

Problem

Integrate the flow of the Hamiltonian

$$\begin{aligned} \mathcal{H} &= \mathcal{K} + \mathcal{U} \\ &= \frac{1}{2} (\mathcal{P}_X^2 + \mathcal{P}_Y^2) - \frac{2}{\pi} \Big((x^2 + y^2)^2 + \frac{1}{16} z^2 \Big)^{-1/2} \end{aligned}$$

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- Potential energy U is fundamental solution to sub-Laplacian

Hamilton's equations

Equations of motion

$$\begin{aligned} \dot{x} &= P_X \\ \dot{y} &= P_Y \\ \dot{z} &= \frac{1}{2} x P_Y - \frac{1}{2} y P_X \end{aligned}$$

$$\begin{split} \dot{p}_{x} &= -\frac{1}{2} P_{Y} p_{z} - \frac{4}{\pi} x (x^{2} + y^{2}) \Big((x^{2} + y^{2})^{2} + \frac{1}{16} z^{2} \Big)^{-3/2} \\ \dot{p}_{y} &= \frac{1}{2} P_{X} p_{z} - \frac{4}{\pi} y (x^{2} + y^{2}) \Big((x^{2} + y^{2})^{2} + \frac{1}{16} z^{2} \Big)^{-3/2} \\ \dot{p}_{z} &= -\frac{1}{8\pi} z \Big((x^{2} + y^{2})^{2} + \frac{1}{16} z^{2} \Big)^{-3/2} \end{split}$$

The Heisenberg group **The Kepler-Heisenberg problem** Results Kepler's laws

The Heisenberg group The Kepler-Heisenberg problem **Results** Kepler's laws

First Results

• System is integrable when H = 0

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The Heisenberg group The Kepler-Heisenberg problem **Results** Kepler's laws

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The Heisenberg group The Kepler-Heisenberg problem **Results** Kepler's laws

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Big Result

Theorem

Periodic orbits exist!

For any odd integer $k \ge 3$, there exists a periodic orbit with k-fold rotational symmetry about the z-axis.

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Use the 'direct method' in the calculus of variations: start with a space of nice periodic curves and show one of them must solve our equations. (Prove that the action functional has a minimum in there somewhere.)

The Heisenberg group The Kepler-Heisenberg problem **Results** Kepler's laws

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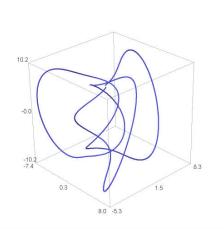
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Proof gives existence only, but can use numerics to find.

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A periodic orbit; k = 3



The Heisenberg group The Kepler-Heisenberg problem **Results** Kepler's laws

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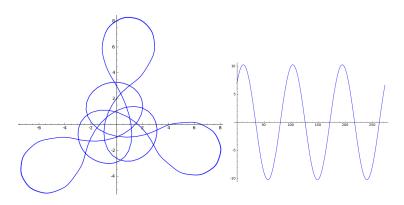
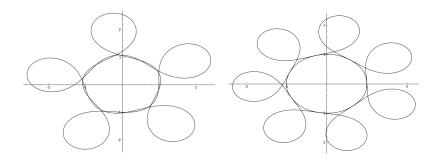


Figure: Left: Projection to *xy*-plane. Right: *z*-coordinate over time; grows like area traced out by projection

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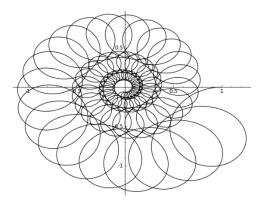
Periodic orbits, k = 5 and 7



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Other numerical solutions

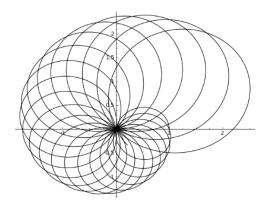
We can numerically approximate some other orbits...



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Other numerical solutions

...which clearly reflect the helical geometry.



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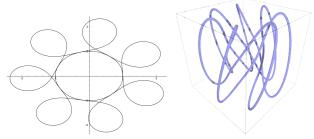
Kepler-Heisenberg Laws

• The analogue of the First Law fails. Periodic orbits with rotational symmetry exist, but they are not ellipses. However, they are very pretty:

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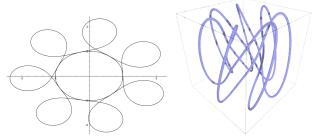
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Kepler-Heisenberg Laws

• The analogue of the First Law fails. Periodic orbits with rotational symmetry exist, but they are not ellipses. However, they are very pretty:



• The Second Law does hold; it is equivalent to the conservation of angular momentum, and the system is rotationally symmetric (Noether's theorem)

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Kepler-Heisenberg Laws

• The Third Law holds! We have

 $T^2 = ka^4$

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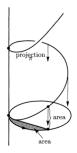
The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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- This is related to the fact that the *z*-coordinate of a curve must grow like the planar area traced out



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Summary

Table: The Kepler Problem in different geometries

Geometry	∃ periodic orbits	1st law	2nd law	3rd law
ℝ ³	\checkmark	\checkmark	\checkmark	\checkmark
S ³	\checkmark	\checkmark	\checkmark	×
\mathbb{H}^3	\checkmark	\checkmark	\checkmark	×
\mathcal{H}	\checkmark	×	\checkmark	\checkmark

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Open Questions

• Is the system integrable for $H \neq 0$?

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- Is the system integrable for $H \neq 0$?
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The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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- What happens in higher (odd) dimensional Heisenberg groups?

Conclusions

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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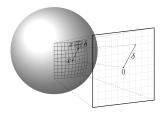
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The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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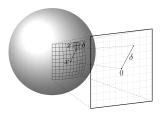
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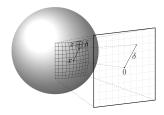
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• Unlike the earth, which we can now photograph from space, we cannot step outside the universe and look down from above to observe its global geometry.

• However, just as ancient scientists deduced the shape of the earth from



local observations, we could conceivably determine the shape of the universe from small scale data.

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

Conclusions

In particular, the geometry of space determines possible planetary (and stellar) orbits.

The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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So if we some day find a star orbiting a black hole along this path,



The Heisenberg group The Kepler-Heisenberg problem Results Kepler's laws

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In particular, the geometry of space determines possible planetary (and stellar) orbits.

So if we some day find a star orbiting a black hole along this path,



we may find ourselves living in a Heisenbergly-curved universe.

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Thank you for listening!





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